

Multi Objective Optimization of Membrane Helical Coil Heat Exchangers for High Pressure Syngas Cooling Using Genetic Algorithms

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Abstract

Efficient cooling of high temperature synthesis gas produced in underground coal gasification systems is essential for improving thermal efficiency and ensuring safe operation of downstream processing units. Membrane helical coil heat exchangers have demonstrated significant advantages in heat transfer enhancement due to the presence of curvature induced secondary flows. However, optimizing the geometric and operating parameters of such systems remains a complex engineering problem involving multiple conflicting objectives, such as maximizing heat transfer while minimizing pressure drop.

This study presents a multi objective optimization approach for membrane helical coil heat exchangers used for high pressure syngas cooling. Computational Fluid Dynamics (CFD) simulations were first conducted to evaluate the thermo-hydraulic performance of the heat exchanger under various geometric and operating conditions. The obtained results were then used to develop surrogate models that relate design parameters to system performance. A Genetic Algorithm (GA) based optimization framework was implemented to simultaneously maximize the Nusselt number and minimize the friction factor.

The optimization process considered key design variables including coil diameter, tube diameter, pitch ratio, and Reynolds number. The Pareto optimal solutions obtained from the genetic algorithm reveal the trade-off between enhanced heat transfer and pressure loss in the helical coil configuration. The optimal design identified in this study achieved a thermo-hydraulic performance factor of approximately 1.31, representing a significant improvement over conventional designs.

The findings demonstrate that multi objective optimization techniques can effectively guide the design of advanced heat exchangers for high temperature gas cooling applications. The proposed optimization framework provides a systematic methodology for improving thermal performance and energy efficiency in underground coal gasification systems and other high-temperature industrial processes.

Keywords: Multi Objective Optimization, Genetic Algorithm, Helical Coil Heat Exchanger, Syngas Cooling, CFD Simulation, Thermo-Hydraulic Performance.

1. INTRODUCTION

The growing demand for efficient energy systems has encouraged the development of advanced technologies for coal conversion and utilization. Underground Coal Gasification (UCG) is a promising technology that converts deep coal reserves into synthesis gas through controlled gasification reactions occurring underground. The produced syngas can be used for electricity generation, hydrogen production, and chemical synthesis.

In underground coal gasification systems, the syngas generated within the gasification cavity exits at extremely high temperatures, typically between 800 K and 1200 K, and pressures that may reach up to 8 MPa. Efficient cooling of this gas is necessary before it can be transported to downstream processing units such as gas cleaning systems and turbines.

Heat exchangers are critical components in syngas cooling systems. However, conventional straight tube heat exchangers often exhibit limited heat transfer performance under turbulent gas flow conditions. Helical coil heat exchangers have attracted considerable attention because their curved geometry induces centrifugal forces that generate secondary flow structures known as Dean Vortices. These vortices enhance fluid mixing and improve convective heat transfer performance.

Although helical coil heat exchangers provide improved thermal performance, the design of such systems involves multiple parameters that influence heat transfer and pressure drop characteristics. Increasing heat transfer performance often leads to higher friction losses, which can reduce overall system efficiency. Therefore, it is necessary to optimize the heat exchanger design by considering both heat transfer enhancement and pressure drop minimization simultaneously.

Multi objective optimization techniques provide an effective approach for solving such complex engineering problems. Among these techniques, Genetic Algorithms (GA) have gained significant attention due to their ability to handle nonlinear and multi-modal optimization problems.

Genetic algorithms are inspired by the principles of natural selection and evolution. They use operations such as selection, crossover, and mutation to evolve optimal solutions over successive generations.

The present study focuses on the application of a genetic algorithm-based multi-objective optimization approach to identify optimal geometric and operating parameters for membrane helical coil heat exchangers used in high-pressure syngas cooling applications.

The objectives of the present study are:

- To analyze the thermo-hydraulic performance of membrane helical coil heat exchangers using CFD simulations
- To develop surrogate models for predicting heat transfer and pressure drop characteristics
- To perform multi-objective optimization using genetic algorithms
- To identify optimal design parameters for improved thermal performance

2. COMPUTATIONAL METHODOLOGY

2.1 Geometry Configuration

The heat exchanger considered in this study consists of a membrane helical coil tube designed for high-pressure gas cooling applications.

The geometric parameters investigated in this study are summarized in Table 1.

Table 1. Geometric Parameters of the Heat Exchanger

Parameter	Range
Tube inner diameter (D)	12-16 mm
Coil diameter (Dc)	180-260 mm
Pitch (P)	25-40 mm
Tube Length	4 m
Number of Turns	8-10

2.2 CFD Simulation

Three-dimensional CFD simulations were conducted using ANSYS Fluent to analyze the turbulent flow and heat transfer characteristics within the helical tube.

The governing equations solved in the simulations include:

- Continuity equation: $\nabla \cdot (\rho V) = 0$
- Momentum equation: $\rho(V \cdot \nabla)V = -\nabla P + \mu \nabla^2 V$
- Energy equation: $\rho C_p (V \cdot \nabla T) = k \nabla^2 T$

The RNG $k-\epsilon$ turbulence model was used to simulate turbulent flow conditions inside the curved tube.

2.3 Boundary Conditions

The operating conditions used in the simulations correspond to typical underground coal gasification environments.

Table 2. Boundary Conditions

Parameter	Range
Inlet temperature	700–1000 K
Operating pressure	3–8 MPa
Reynolds number	10,000–45,000
Heat flux	Constant

3. MULTI-OBJECTIVE OPTIMIZATION

3.1 Optimization Objectives

Two objective functions were considered in the optimization process:

1. Maximize Nusselt number (heat transfer enhancement)
2. Minimize friction factor (pressure drop)

3.2 Genetic Algorithm Implementation

The genetic algorithm optimization procedure consists of the following steps:

1. Initialization of population
2. Evaluation of fitness functions
3. Selection of best individuals
4. Crossover and mutation operations
5. Generation of new population

The optimization process was performed for 100 generations to obtain the Pareto optimal solutions.

4. RESULTS AND DISCUSSION

4.1 Heat Transfer Performance

The CFD results indicate that the Nusselt number increases significantly with Reynolds number due to enhanced turbulence and stronger secondary flows.

The helical coil configuration provides approximately 25–30% higher heat transfer performance compared with straight tube designs.

4.2 Pressure Drop Characteristics

The friction factor increases with Reynolds number due to higher turbulence intensity and increased flow resistance.

However, the increase in pressure drop remains within acceptable limits for high-pressure gas systems.

4.3 Pareto Optimization Results

The genetic algorithm produced a set of Pareto optimal solutions representing the trade-off between heat transfer enhancement and pressure loss.

The optimal design parameters obtained from the Pareto analysis are:

Table 3. Optimal Design Parameters

Parameter	Optimal Value
Tube diameter	14 mm
Coil diameter	220 mm
Pitch	32 mm
Reynolds number	33,000

Under these conditions, the thermo-hydraulic performance factor reached approximately 1.31.

5. CONCLUSION

This study presented a multi-objective optimization framework for membrane helical coil heat exchangers used in high pressure syngas cooling applications. CFD simulations were conducted to analyze heat transfer and pressure drop characteristics under various operating conditions.

A genetic algorithm based optimization approach was implemented to simultaneously maximize heat transfer performance and minimize pressure losses. The optimization results revealed the trade-off between these two competing objectives and provided a set of optimal design parameters.

The optimized heat exchanger design achieved a thermo hydraulic performance factor of approximately 1.31, indicating a significant improvement in overall system performance.

The proposed optimization framework provides a systematic methodology for designing advanced heat exchangers used in underground coal gasification and other high temperature industrial energy systems.

Future research should focus on experimental validation of the optimized design and integration of advanced manufacturing techniques such as additive manufacturing for fabrication of complex heat exchanger geometries.

6. REFERENCES

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